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PTO/SB/05 (03-01)

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UTILITY PATENT APPLICATION **TRANSMITTAL**

WETCO-001A Attorney Docket No. DUKE ET AL. First Inventor

Cooling Water Scale and Corrosion Inhibition

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. Application Fee Transmittal Form (e.g., PTO/SB/17) Fee Transmittal Form (e.g., PTO/SB/17) Application claims small entity status. See 37 CFR 1.27. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) See 37 CFR 1.27. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) See 37 CFR 1.27. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) See 37 CFR 1.27. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) See 37 CFR 1.27. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) See 37 CFR 1.27. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) See 37 CFR 1.27. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) See 37 CFR 1.27. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) See 37 CFR 1.27. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) See 37 CFR 1.27. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) See 37 CFR 1.27. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) See 37 CFR 1.27. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) See 37 CFR 1.27. CD-ROM or CD-R in duplication Sequence Submission (if applicable, all necessary) See 37 CFR 1.28 CD-ROM or CD-R in duplication Sequence Submission (if applicable, all necessary) See 37 CFR 1.28 CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) See 37 CFR 1.28 CD-ROM or CD-R in duplication Sequence Submission (if application Sequence Submission (if applicati	(Only for new nonprovisions	al applications under 37 CFR 1.53(b))	Expres	s Mail Label No.	EL 98830	4255 US	F (2)		
New Per Chapter 800 concerning utility patent application contents New Per Chapter 800 concerning utility patent application contents New Proceedings New	APPLICATION ELEMENTS			ADDRESS TO: Box Patent Application					
2. X Specification small entity status. See 37 CFR 1.27. 3. X Specification			<u>. </u>	·	Washington, DO	20231			
Applicant claims small entity status. See 37 CFR 1.27. (Total Pages 20] Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summay of the Invention - Brief Summay of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4.			7.			irge table or	\$ ⊘		
Total Pages 20 1	Applicant claims s	(Submit an original and a duplicate for fee processing) Applicant claims small entity status.			8. Nucleotide and/or Amino Acid Sequence Submission				
- Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4.		[Total Pages 20]	a.	·					
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- Claim(s) - Abstract of the Disclosure 10. X 37 CFR 3.73(b) Statement (when there is an assignee) 11. English Translation Document (if applicable) 12. English Translation Document (if applicable) 13. Preliminary Amendment 14. X Newly executed (original or copy) 15. Oath or Declaration 16. Ocypy from a prior application (37 CFR 1.63 (d)) 17. DELETION OF INVENTOR(S) 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1. 76: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1. 76: 18. If a CONTINUING APPLICATION of Divisional Continuation-In-part (CIP) 19. Continuation 10. X (when there is an assignee) 11. English Translation Document (if applicable) 12. Statement (IDS)/PTO-1449 13. Preliminary Amendment 14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. Certified Copy of Priority Document(s) 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1. 76: 17. X Other Certified Copy of Priority Document(s) 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application information: 18. If a CONTINUING APPLICATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. 19. CORRESPONDENCE ADDRESS	- Detailed Description	iption							
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Customer Number or Bar Code Label (Insert(Customer No. or/Attach bar label there)) Or X Correspondence address below									
Name MATTHEW A. NEWBOLES	Name	MATTHEW A. NEWBOLES							
STETINA BRUNDA GARRED & BRUCKER									
Address 75 Enterprise, Suite 250	Address	GC F 4							
City Aliso Viejo State CA Zip Code 92656	City	Aliso Viejo	State	CA	Zip C	ode 9265	6		
Country USA Telephone (949) 855-1246 Fax 855-6371	Country	USA 1	elephone	(949) 855-124	f6 Fa	× 855-	6371		
Name (Print/Type) MATTHEW A. NEWBOLES Registration No. (Attorney/Agent) 36,224	Name (Print/Tyne)	MATTHEW A NEWBOLES	; /	Registration No. (At	torney/Agent)	36,224			
Signature Child Date 1/9/04	*****				T "		04		

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X Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

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Complete if Known				
Application Number	NOT YET ASSIGNED			
Filing Date	HEREWITH			
First Named Inventor	DUKE, ET AL.			
Examiner Name				
Art Unit				
Attorney Docket No.	WETCO-001A			

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)					
X Check Credit card Money Other None			3. ADDITIONAL FEES					
Order Order		L <u>arge</u>	Entity	Small	Entity			
Deposit			Fee Code		Fee Code	Fee (\$)	Fee Description	Fee Paid _
Account	19-4330		1051	130	2051		Surcharge - late filing fee or oath	
Number Deposit Account	Stetina Brunda Garre	d & Brucker	1052	50	2052		Surcharge - late provisional filing fee or cover sheet	
Name The Director is	s authorized to: (check all that a	noly)	1053	130	1053	130	Non-English specification	
	· —	dit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
	additional fee(s) or any underpar	yment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
<u> </u>	(s) indicated below, except for the lentified deposit account.	e filing fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
to the above-to	FEE CALCULATION	J	1251	110	2251	55	Extension for reply within first month	
1. BASIC FI			1252	420	2252	210	Extension for reply within second month	
Large Entity S			1253	950	2253	475	Extension for reply within third month	
	Fee Fee Fee Description Code (\$)	Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770	2001 385 Utility filing fee	[205 00]	1255	2,010	2255	1,005	Extension for reply within fifth month	
1002 340	2002 170 Design filing fee	385.00	1401	330	2401	165	Notice of Appeal	<u> </u>
1003 530	2003 265 Plant filing fee		1402	330	2402	165	Filing brief in support of an appeal	<u> </u>
1004 770	2004 385 Reissue filing fe	ee 🗀	1403	290	2403	145	Request for oral hearing	
1005 160	2005 80 Provisional filin	g fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
	SUBTOTAL (1)	(\$) 385 OO	1452	110	2452	55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$) 385.00			1453	1,330	2453	665	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1501	1,330	2501	665	Utility issue fee (or reissue)		
Total Claims 31 -20** = 11 X 9 = 99.00 Independent 2 -3** = X = =		1502	480	2502	240	Design issue fee		
		99.00	1503	640	2503	320	Plant issue fee	
		1460	130	1460	130	Petitions to the Commissioner		
Multiple Dependent		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		
Large Entity Small Entity Fee Fee Fee Fee Fee Description		1806	180	1806	180	Submission of Information Disclosure Stmt		
Code (\$)	Code (\$)		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18 1201 86	2202 9 Claims in excellent of 2201 43 Independent of	ess of 20 daims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290		ndent claim, if not paid	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1204 86	2204 43 ** Reissue ind over origina	lependent claims I patent	1801	770	2801	385	Request for Continued Examination (RCE)	
1205 18		ims in excess of 20 ginal patent	1802	900	1802	900	Request for expedited examination of a design application	
		Other fee (specify)						
SUBTOTAL (2) (\$) 99.00			*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)					

(Complete (if applicable) SUBMITTED BY Registration No. Telephone (949) 855-1246 MATTHEW A. NEWBOLES 36,224 Name (Print/Type) (Attorney/Agent) Date Signature

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ATTORNEY DOCKET NO: WETCO-001A

TITLE: COOLING WATER SCALE & CORROSION INHIBITION

Serial Number: Not Yet Assigned

Filed: January 9, 2004

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on January 9, 2004

(Signature)

LINDA JOHNSON

(Typed name of person signing certificate)

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